

15 April 2021

**Securities & Exchange Commission**

Secretariat Building, PICC Complex  
Roxas Blvd, Metro Manila

**Philippine Stock Exchange**

Disclosure Department  
6/F PSE Tower, 28<sup>th</sup> Street corner 5<sup>th</sup> Avenue,  
BGC, Taguig City, Metro Manila

**Philippine Dealing & Exchange Corporation**

Philippine Dealing & Exchange Corp.  
29/F, BDO Equitable Tower,  
8751 Paseo de Roxas, Makati City

**Attention: Hon. Vicente Graciano P. Felizmenio, Jr.**  
Director, Market and Securities Regulation Department  
Securities & Exchange Commission

**Ms. Janet Encarnacion**  
Head - Disclosure Department  
Philippine Stock Exchange

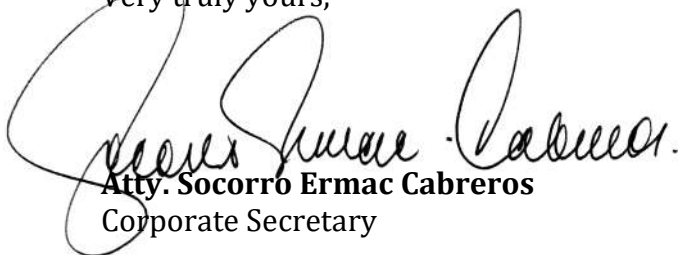
**Atty. Marie Rose M. Magallen-Lirio**  
Head - Issuer Compliance and Disclosure Department (ICDD)

**Sir and Mesdames:**

We are herewith submitting the Company's Top Stockholders as of 31 March 2021 for our common shares as submitted by our transfer agent, BDO and Philippine Depository Trust Corp.

Thank you and warm regards.

Very truly yours,



**Atty. Socorro Ermac Cabreros**  
Corporate Secretary

COMPANY NAME : PHOENIX PETROLEUM PHILS., INC.

LIST OF TOP 100 STOCKHOLDERS  
As Of March 31, 2021

STOCKHOLDER'S NAME	OUTSTANDING & ISSUED SHARES (FULLY PAID)	OUTSTANDING & ISSUED SHARES (PARTIALLY PAID)	TOTAL HOLDINGS (SUBSCRIBED)	PERCENTAGE TO TOTAL
PCD NOMINEE CORPORATION - (FILIPINO)	1,280,908,896	0	1,280,908,896	88.965
PHOENIX PETROLEUM HOLDINGS INC.	81,861,000	0	81,861,000	5.686
PCD NOMINEE CORPORATION - (NON-FILIPINO)	63,104,976	0	63,104,976	4.383
JOSELITO R. RAMOS	4,812,600	0	4,812,600	0.334
DENNIS A. UY	3,991,811	0	3,991,811	0.277
UDENCO CORPORATION	1,614,787	0	1,614,787	0.112
DENNIS A. UY &/OR CHERYLYN C. UY	1,098,060	0	1,098,060	0.076
DOMINGO T. UY	645,919	0	645,919	0.045
ERIC U. LIM OR CHRISTINE YAO LIM	319,000	0	319,000	0.022
MARJORIE ANN LIM LEE OR PAULINE ANN LIM LEE	300,000	0	300,000	0.021
EDWIN U. LIM OR GENEVIEVE LIM	300,000	0	300,000	0.021
ZENAIDA CHAN UY	149,058	0	149,058	0.010
REBECCA PILAR CLARIDAD CATERIO	148,453	0	148,453	0.010
SOCORRO ERMAC CABREROS	103,316	0	103,316	0.007
IGNACIA SYJUCO BRAGA IV	71,019	0	71,019	0.005
EMERICK JEFFERSON SY GO &/OR GIRLIE NG GO	64,592	0	64,592	0.004
RODOLFO B. APILADO	53,235	0	53,235	0.004
GIGI QUEJADA FUENSALIDA	28,400	0	28,400	0.002
FREDERICK E. FERRARIS &/OR ESTER E. FERRARIS	25,350	0	25,350	0.002
REYNALDO A. PHALA	24,830	0	24,830	0.002
ROMEO BALTAZAR DE GUZMAN	20,000	0	20,000	0.001
MYRA P. VILLANUEVA	20,000	0	20,000	0.001
HELEN V. ALAGENO	15,926	0	15,926	0.001
ELVIRA M. CRUZ OR BERNARDO A. CRUZ	15,000	0	15,000	0.001
EDWIN U. LIM	15,000	0	15,000	0.001
ERIC U. LIM	15,000	0	15,000	0.001
VICENTE M. DE VERA	12,918	0	12,918	0.001
MARJORIE ANN LIM LEE	10,000	0	10,000	0.001
LEILANI C. DIAZ	7,995	0	7,995	0.001
MA. BLESILDA S. CONCEPCION &/OR HENRY A. CONCEPCION	6,525	0	6,525	0.000
DULCE MARIA S. OLIVA	6,458	0	6,458	0.000
FARIDA E. FERRARIS &/OR ESTER E. FERRARIS	4,350	0	4,350	0.000
ATTY. JESUS P. WISCO	3,900	0	3,900	0.000
MERCEDES PATRICIA D. PARDO	2,636	0	2,636	0.000
MELVIN JOHN NITE SEDANO	1,200	0	1,200	0.000
JULIET ALEJANDRO ANCHETA	1,000	0	1,000	0.000
ANGELICA DIANE B. MONTEZA	1,000	0	1,000	0.000
MILAGROS P. VILLANUEVA	1,000	0	1,000	0.000
MYRNA P. VILLANUEVA	1,000	0	1,000	0.000
FELICITAS F. TACUB	300	0	300	0.000
JESUS SAN LUIS VALENCIA	300	0	300	0.000
GUILLERMO F. GILI, JR.	260	0	260	0.000
ALVIN JIMENEZ PASCUAL	195	0	195	0.000
STEPHEN G. SOLIVEN	150	0	150	0.000
ELNORA N. TURNER	120	0	120	0.000
PHILIP TURNER	120	0	120	0.000
HENRY ALBERT RICAFORT FADULLON AND/OR REBECCA DE	100	0	100	0.000
CHRISTINE F. HERRERA	100	0	100	0.000
JULIUS VICTOR EMMANUEL J SANVICTORES	100	0	100	0.000
JULIUS VICTOR EMMANUEL D SANVICTORES	95	0	95	0.000
OWEN NATHANIEL S. AU ITF : LI MARCUS AU	65	0	65	0.000
JOSE J. LEONARDO &/OR TERESITA A. LEONARDO	65	0	65	0.000
CHERYLYN C. UY	39	0	39	0.000
JOSELITO T. BAUTISTA	7	0	7	0.000
MA. CONCEPCION F. DE CLARO	1	0	1	0.000
J.V. EMMANUEL A. DE DIOS	1	0	1	0.000
PAUL G. DOMINGUEZ	1	0	1	0.000
MONICO V. JACOB	1	0	1	0.000
JOSEPH JOHN DE LEON ONG	1	0	1	0.000
CONSUELO YNARES SANTIAGO	1	0	1	0.000
GRAND TOTAL (60)	1,439,788,232	0	1,439,788,232	

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## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - PNX0000000000

Business Date: March 31, 2021

BPNAME	HOLDINGS
UPCC SECURITIES CORP.	405
A & A SECURITIES, INC.	11,527,362
ABACUS SECURITIES CORPORATION	2,756,536
PHILSTOCKS FINANCIAL INC	914,333
A. T. DE CASTRO SECURITIES CORP.	3,300
ALPHA SECURITIES CORP.	7,404
BA SECURITIES, INC.	150,161,806
AP SECURITIES INCORPORATED	10,680,366
ANSALDO, GODINEZ & CO., INC.	101,742
AB CAPITAL SECURITIES, INC.	383,343
SB EQUITIES, INC.	380,806
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	185,132
ASIASEC EQUITIES, INC.	12,916
CHINA BANK SECURITIES CORPORATION	24,000
BELSON SECURITIES, INC.	312,347
BENJAMIN CO CA & CO., INC.	57,946
BPI SECURITIES CORPORATION	1,554,311
CAMPOS, LANUZA & COMPANY, INC.	54,957
SINCERE SECURITIES CORPORATION	13,698
CTS GLOBAL EQUITY GROUP, INC.	71,725
TRITON SECURITIES CORP.	27,945
IGC SECURITIES INC.	42,628
DAVID GO SECURITIES CORP.	316,264
DIVERSIFIED SECURITIES, INC.	26,246
E. CHUA CHIACO SECURITIES, INC.	621,415
EASTERN SECURITIES DEVELOPMENT CORPORATION	480,496
EQUITIWORLD SECURITIES, INC.	53,245
EVERGREEN STOCK BROKERAGE & SEC., INC.	1,106,357
FIRST ORIENT SECURITIES, INC.	137,304
FIRST INTEGRATED CAPITAL SECURITIES, INC.	80,000
F. YAP SECURITIES, INC.	1,354,768
AURORA SECURITIES, INC.	6,758
GLOBALINKS SECURITIES & STOCKS, INC.	86,118
JSG SECURITIES, INC.	99,887
GOLDSTAR SECURITIES, INC.	81,535
GUILD SECURITIES, INC.	14,477
HDI SECURITIES, INC.	1,116,060
HK SECURITIES, INC.	4,669
I. ACKERMAN & CO., INC.	10,466
I. B. GIMENEZ SECURITIES, INC.	4,714
INVESTORS SECURITIES, INC,	350,752
IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	66,759
INTRA-INVEST SECURITIES, INC.	12,919
J.M. BARCELON & CO., INC.	6,508
VALUE QUEST SECURITIES CORPORATION	1,624,000
STRATEGIC EQUITIES CORP.	52,700
LARRGO SECURITIES CO., INC.	35,740
LOPEZ, LOCSIN, LEDESMA & CO., INC.	13,104
LUCKY SECURITIES, INC.	21,725

<b>BPNAME</b>	<b>HOLDINGS</b>
LUYS SECURITIES COMPANY, INC.	116,265
MANDARIN SECURITIES CORPORATION	121,433
COL Financial Group, Inc.	5,072,332
DA MARKET SECURITIES, INC.	99,760
MERCANTILE SECURITIES CORP.	1,003,972
MERIDIAN SECURITIES, INC.	217,214
MDR SECURITIES, INC.	28,162
REGIS PARTNERS, INC.	86
MOUNT PEAK SECURITIES, INC.	18,877
NEW WORLD SECURITIES CO., INC.	222,896
OPTIMUM SECURITIES CORPORATION	13,718
RCBC SECURITIES, INC.	122,747
PAN ASIA SECURITIES CORP.	99,180
PAPA SECURITIES CORPORATION	4,645,932
MAYBANK ATR KIM ENG SECURITIES, INC.	175,260,138
PLATINUM SECURITIES, INC.	32,370
PNB SECURITIES, INC.	137,660
PREMIUM SECURITIES, INC.	113,131
SALISBURY BKT SECURITIES CORPORATION	646,258
QUALITY INVESTMENTS & SECURITIES CORPORATION	311,726
R & L INVESTMENTS, INC.	3,000
ALAKOR SECURITIES CORPORATION	3,166
R. COYIUTO SECURITIES, INC.	824,907
REGINA CAPITAL DEVELOPMENT CORPORATION	579,911
R. NUBLA SECURITIES, INC.	587,787
AAA SOUTHEAST EQUITIES, INCORPORATED	220,937
R. S. LIM & CO., INC.	460,287
RTG & COMPANY, INC.	482,554
S.J. ROXAS & CO., INC.	28,373
SECURITIES SPECIALISTS, INC.	17,500
FIDELITY SECURITIES, INC.	327,317
SUMMIT SECURITIES, INC.	12,400
STANDARD SECURITIES CORPORATION	81,720
TANSENGCO & CO., INC.	1,699,522
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	12,535
TOWER SECURITIES, INC.	515,114
TRANS-ASIA SECURITIES, INC.	13,600
APEX PHILIPPINES EQUITIES CORPORATION	19,376
UCPB SECURITIES, INC.	56,928
VENTURE SECURITIES, INC.	5,885
FIRST METRO SECURITIES BROKERAGE CORP.	1,109,707
WEALTH SECURITIES, INC.	414,340
WESTLINK GLOBAL EQUITIES, INC.	92,066
YAO & ZIALCITA, INC.	153,227
YU & COMPANY, INC.	159,850
BDO SECURITIES CORPORATION	278,018,699
EAGLE EQUITIES, INC.	610,658
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	100,933
SOLAR SECURITIES, INC.	1,458,052
G.D. TAN & COMPANY, INC.	192,329
CLSA PHILIPPINES, INC.	26,044,775
PHILIPPINE EQUITY PARTNERS, INC.	2,202,038
UNICAPITAL SECURITIES INC.	81,440
SunSecurities, Inc.	1,665,239
TIMSON SECURITIES, INC.	105,700
STAR ALLIANCE SECURITIES CORP.	1,500,000
CITIBANK N.A.	358,128,926

<b>BPNAME</b>	<b>HOLDINGS</b>
DEUTSCHE BANK MANILA-CLIENTS A/C	11,886,792
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	240,574,800
SOCIAL SECURITY SYSTEM	38,217,400
PHOENIX PETROLEUM PHILIPPINES, INC.	231

**Total** **1,344,013,872**

<b>PCD Non - Filipino</b>	63,104,976
<b>PCD Filipino</b>	1,280,908,896

**Total** **1,344,013,872**

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.