

14 October 2021

**Securities & Exchange Commission**

Secretariat Building, PICC Complex  
Roxas Blvd, Metro Manila

**Philippine Stock Exchange**

Disclosure Department  
6/F PSE Tower, 28<sup>th</sup> Street corner 5<sup>th</sup> Avenue,  
BGC, Taguig City, Metro Manila

**Philippine Dealing & Exchange Corporation**

Philippine Dealing & Exchange Corp.  
29/F, BDO Equitable Tower,  
8751 Paseo de Roxas, Makati City

**Attention: Hon. Vicente Graciano P. Felizmenio, Jr.**  
Director, Market and Securities Regulation Department  
Securities & Exchange Commission

**Ms. Janet Encarnacion**  
Head - Disclosure Department  
Philippine Stock Exchange


**Atty. Marie Rose M. Magallen-Lirio**  
Head - Issuer Compliance and Disclosure Department (ICDD)

**Sir and Mesdames:**

We are herewith submitting the Company's Top Stockholders as of 30 September 2021 for our preferred shares(PNX3B) as submitted by our transfer agent, BDO and Philippine Depository Trust Corp.

Thank you and warm regards.

Very truly yours,



**Atty. Socorro Ermac Cabreros**  
Corporate Secretary

COMPANY NAME : PHOENIX PETROLEUM PHILS, INC. - PRF3

LIST OF TOP 100 STOCKHOLDERS  
As Of September 30, 2021

STOCKHOLDER'S NAME	OUTSTANDING & ISSUED SHARES (FULLY PAID)	OUTSTANDING & ISSUED SHARES (PARTIALLY PAID)	TOTAL HOLDINGS (SUBSCRIBED)	PERCENTAGE TO TOTAL
PCD NOMINEE CORPORATION (FILIPINO)	7,474,540	0	7,474,540	99.661
PCD NOMINEE CORPORATION (NON-FILIPINO)	12,850	0	12,850	0.171
KNIGHTS OF COLUMBUS FRATERNAL ASSOCIATION OF THE PHILS., INC	6,910	0	6,910	0.092
ANTONIO T. CHUA	5,700	0	5,700	0.076
GRAND TOTAL (4)	<u>7,500,000</u>	<u>0</u>	<u>7,500,000</u>	

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## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - PNX3B0000000

Business Date: September 30, 2021

BPNAME	HOLDINGS
UPCC SECURITIES CORP.	19,960
A & A SECURITIES, INC.	5,700
ABACUS SECURITIES CORPORATION	139,520
PHILSTOCKS FINANCIAL INC	22,080
A. T. DE CASTRO SECURITIES CORP.	500
AP SECURITIES INCORPORATED	34,400
ANSALDO, GODINEZ & CO., INC.	102,400
AB CAPITAL SECURITIES, INC.	51,200
SB EQUITIES, INC.	479,900
ASTRA SECURITIES CORPORATION	5,700
CHINA BANK SECURITIES CORPORATION	3,000
BELSON SECURITIES, INC.	700
JAKA SECURITIES CORP.	2,900
BPI SECURITIES CORPORATION	110,380
CAMPOS, LANUZA & COMPANY, INC.	4,100
CTS GLOBAL EQUITY GROUP, INC.	15,000
TRITON SECURITIES CORP.	700
IGC SECURITIES INC.	1,000
DIVERSIFIED SECURITIES, INC.	116,300
E. CHUA CHIACO SECURITIES, INC.	18,840
EASTERN SECURITIES DEVELOPMENT CORPORATION	4,700
FIRST ORIENT SECURITIES, INC.	6,900
F. YAP SECURITIES, INC.	5,000
AURORA SECURITIES, INC.	2,200
GLOBALINKS SECURITIES & STOCKS, INC.	19,130
JSG SECURITIES, INC.	10,400
GOLDSTAR SECURITIES, INC.	4,000
HDI SECURITIES, INC.	26,970
INVESTORS SECURITIES, INC.	3,700
INTRA-INVEST SECURITIES, INC.	1,008,100
J.M. BARCELON & CO., INC.	6,380
STRATEGIC EQUITIES CORP.	2,700
LOPEZ, LOCSIN, LEDESMA & CO., INC.	20,000
LUCKY SECURITIES, INC.	2,000
COL Financial Group, Inc.	260,790
REGIS PARTNERS, INC.	500
RCBC SECURITIES, INC.	189,670
PAPA SECURITIES CORPORATION	3,160
MAYBANK ATR KIM ENG SECURITIES, INC.	32,860
PNB SECURITIES, INC.	1,000
PREMIUM SECURITIES, INC.	10,900
QUALITY INVESTMENTS & SECURITIES CORPORATION	25,100
R & L INVESTMENTS, INC.	400
R. COYIUTO SECURITIES, INC.	5,700
R. NUBLA SECURITIES, INC.	7,800
AAA SOUTHEAST EQUITIES, INCORPORATED	12,100
R. S. LIM & CO., INC.	6,000
SUMMIT SECURITIES, INC.	5,000
STANDARD SECURITIES CORPORATION	2,800
TANSENGCO & CO., INC.	5,700
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	28,000

<b>BPNAME</b>	<b>HOLDINGS</b>
TOWER SECURITIES, INC.	1,580
APEX PHILIPPINES EQUITIES CORPORATION	5,700
UCPB SECURITIES, INC.	190
VENTURE SECURITIES, INC.	9,000
FIRST METRO SECURITIES BROKERAGE CORP.	445,220
WEALTH SECURITIES, INC.	12,200
WESTLINK GLOBAL EQUITIES, INC.	4,000
YU & COMPANY, INC.	5,000
BDO SECURITIES CORPORATION	746,990
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	5,700
SOLAR SECURITIES, INC.	9,700
G.D. TAN & COMPANY, INC.	23,180
UNICAPITAL SECURITIES INC.	1,900
SunSecurities, Inc.	4,700
COHERCO SECURITIES, INC.	10,400
CHINA BANKING CORPORATION - TRUST GROUP	273,290
CITIBANK N.A.	785,170
PNB TRUST BANKING GROUP	1,697,740
RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS	8,250
STERLING BANK OF ASIA TRUST GROUP	99,000
ASIA UNITED BANK - TRUST & INVESTMENT GROUP	251,910
MBTC - TRUST BANKING GROUP	212,230
AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.	8,000
UCPB GENERAL INSURANCE CO., INC.	12,400
<b>TOTAL</b>	<b>7,487,390</b>

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.